

FORM PTO-1390 (Modified)  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

4417

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/806852

INTERNATIONAL APPLICATION NO.  
PCT/JP99/05498INTERNATIONAL FILING DATE  
October 5, 1999PRIORITY DATE CLAIMED  
October 5, 1998

## TITLE OF INVENTION

PHOTOSENSITIVE POLYSILAZANE COMPOSITION AND METHOD OF  
FORMING PATTERNED POLYSILAZANE FILM

## APPLICANT(S) FOR DO/EO/US

1) Tatsuro NAGAHARA, 2) Hideki MATSUO,  
3) Tomoko AOKI and 4) Kazuhiro YAMADA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Specification and drawings

The filing fee must be based upon the claims as amended and added in the attached Preliminary Amendment.

Information Sheet

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>09/806852</b>		INTERNATIONAL APPLICATION NO. PCT/JP99/05498		ATTORNEY'S DOCKET NUMBER 4417	
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20. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO .....	\$860.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$670.00
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....	\$760.00
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$970.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....	\$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

	\$860.00	
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Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	23 - - =	3	x \$18.00	\$54.00
Independent claims	2 - - =	0	x \$80.00	

Multiple Dependent Claims (check if applicable) ☐

<b>TOTAL OF ABOVE CALCULATIONS</b>	=	\$914.00
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Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) ☐

<b>SUBTOTAL</b>	=	\$914.00
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Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

<b>TOTAL NATIONAL FEE</b>	=	\$914.00
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) ☐

<b>TOTAL FEES ENCLOSED</b>	=	\$914.00
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	Amount to be:	
	refunded	\$
	charged	\$

☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

☒ Please charge my Deposit Account No. 01-1944 in the amount of \$914.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.

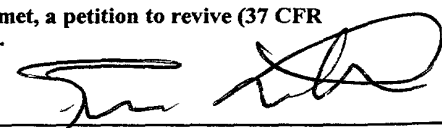
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 01-1944 A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Anderson, Kill & Olick P.C  
1251 Avenue of the Americas  
New York, NY 10020-1182

(212) 278-1000

  
SIGNATURE

Eugene Lieberstein  
NAME

24,645  
REGISTRATION NUMBER

April 4, 2001  
DATE

09/806852

JC08 Rec'd PCT/PTO 04 APR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE MATTER OF:

NAGAHARA et al

ORDER/DOCKET NO.: 4417

FOR: PHOTSENSITIVE POLYSILAZANE COMPOSITION AND METHOD OF  
FORMING PATTERNED POLYSILAZANE

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents  
& Trademarks  
Washington, DC 20231

S I R:

This application is a continuation of PCT/JP99/05498 filed October 5,  
1999.

It is requested that the application be amended as follows.

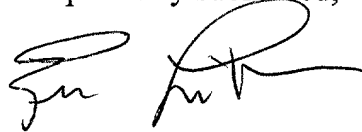
Please replace the pages containing claims 1-14 with the attached pages  
containing claims 1-23. Also, attached is a copy of claims 2, 3, 5, 6, 8 and 10 as  
amended and a copy of new claims 15-23.

REMARKS

A Preliminary Amendment has been made to eliminate the multiple  
dependency of claims 6, 8 and 10 including the addition of new claims 15-23. The  
amendment is believed to place the application in better condition for examination and  
allowance.

It is respectfully requested that the amendment be entered before  
calculation of the filing fee and before examination by the Examiner.

Respectfully submitted,

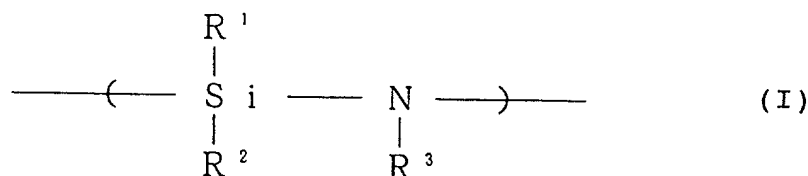
A handwritten signature in black ink, appearing to read 'Eugene Lieberstein', written in a cursive style.

Eugene Lieberstein  
Registration No. 24,645

ANDERSON, KILL & OLICK  
1251 Avenue of the Americas  
New York, New York 10020-1182  
(212) 278-1000

## IN THE CLAIMS

2. (Amended: The photosensitive polysilazane composition according to claim 1 wherein said polysilazane is mainly a polysilazane, or [its] a modification product [having a number] thereof with said polysilazane having an average molecular weight of between about 100 to 50,000, [that contains the] and a skeleton represented with the following general formula (I):



[(]wherein, R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group[)].

3.(Amended) The photosensitive polysilazane composition according to claim 1 wherein said polysilazane [is mainly a polysilazane having a number] has an average molecular weight of between about 100 to 100,000 [that contains the] and a skeleton represented with the following general formula (II):



[(]wherein, R<sup>4</sup> and R<sup>5</sup> respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group, and n is an arbitrary integer[)].

5. (Amended) The photosensitive polysilazane composition according to claim 2 wherein said polysilazane modification product is a polyorganosiloxazane having an [a number] average molecular weight of between about 300 to 100,000 that contains, as its main repeating unit,  $-\text{RsiN}_3-$ ,  $-\text{(RsiN}_2\text{O)-}$ ,  $\text{(RsiNO}_2\text{)-}$  and  $-\text{(RsiO}_3\text{)-}$  (wherein, R is an alkyl group, an alkenyl group, a cycloalkyl group, and aryl group, an alkylamino group of an alkylsilyl group).

6. (Amended) The photosensitive polysilazane composition according to [any of claims] claim 2 [through 5] wherein said photoacid generator is a peroxide.

8. (Amended) The photosensitive polysilazane composition according to [any one of claims 1 through 7 that additionally contains] claim 1 further comprising a sensitizing dye.

10. (Amended) The photosensitive polysilazane composition according to [either of claims 8 or 9 that additionally contains] claim 8 further comprising an oxidation catalyst.

15. (New) The photosensitive polysilazane composition according to claim 3 wherein said photoacid generator is a peroxide.

16. (New) The photosensitive polysilazane composition according to claim 4 wherein said photoacid generator is a peroxide.

17. (New) The photosensitive polysilazane composition of claim 2 further comprising a sensitizing dye.

18. (New) The photosensitive polysilazane composition of claim 3 further comprising a sensitizing dye.

19. (New) The photosensitive polysilazane composition of claim 6 further comprising a sensitizing dye.

20. (New) The photosensitive polysilazane composition of claim 8 wherein said sensitizing dye is selected from coumarin, ketocoumarin and their derivatives and thiopyrylium salts.

21. (New) The photosensitive polysilazane composition of claim 10 wherein said sensitizing dye is selected from coumarin, ketocoumarin and their derivatives and thiopyrylium salts.

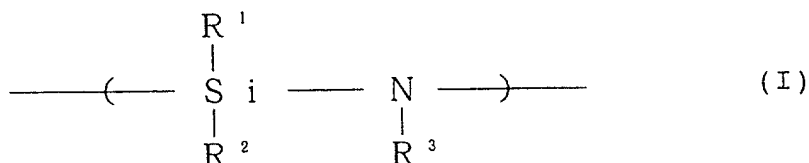
22. (New). The photosensitive polysilazane composition according to claim 9 further comprising an oxidation catalyst.

23. (New) The photosensitive polysilazane composition according to claim 21 wherein said oxidation catalyst is palladium propionate.

**What is claimed is:**

1. A photosensitive polysilazane composition comprising a polysilazane and a photoacid generator.

2. The photosensitive polysilazane composition according to claim 1 wherein said polysilazane is mainly a polysilazane, or a modification product thereof with said polysilazane having an average molecular weight of between about 100 to 50,000, and a skeleton represented with the following general formula (I):



wherein,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group.

3. The photosensitive polysilazane composition according to claim 1 wherein said polysilazane has an average molecular weight of between about 100 to 100,000 and a skeleton represented with the following general formula (II):



wherein,  $\text{R}^4$  and  $\text{R}^5$  respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group, and  $n$  is an arbitrary integer.

4. The photosensitive polysilazane composition according to claim 3 wherein, in the above formula (II),  $\text{R}^4$  is a methyl group or phenyl group, and  $\text{R}^5$  is a hydrogen atom.



5. The photosensitive polysilazane composition according to claim 2 wherein said polysilazane modification product is a polyorganosiloxazane having an average molecular weight of between about 300 to 100,000 that contains, as its main repeating unit,  $-\text{RsiN}_3-$ ,  $-\text{(RsiN}_2\text{O)-}$ ,  $-\text{(RsiNO}_2\text{)-}$  and  $-\text{(RsiO}_3\text{)-}$  (wherein, R is an alkyl group, an alkenyl group, a cycloalkyl group, and aryl group, an alkylamino group of an alkylsilyl group).

6. The photosensitive polysilazane composition according to claim 2 wherein said photoacid generator is a peroxide.

7. The photosensitive polysilazane composition according to claim 6 wherein said peroxide is t-butyl peroxybenzoate, 3,3',4,4'-tetra(t-butylperoxycarbonyl) benzophenone or  $\alpha, \alpha'$ -bis(t-butylperoxy) diisopropylbenzene.

8. The photosensitive polysilazane composition according to claim 1 further comprising a sensitizing dye.

10. The photosensitive polysilazane composition according to claim 8 further comprising an oxidation catalyst.

11. The photosensitive polysilazane composition according to claim 10 wherein said oxidation catalyst is palladium propionate.

12. A method of forming a patterned polysilazane film comprising: a step in which a coated film is formed of a photosensitive polysilazane composition comprising a polysilazane and a photoacid generator, a step in which said coated film is exposed to light in a pattern, and a step in which the exposed portion of said coated film is dissolved off.

13. The method according to claim 12, wherein, said dissolving off step is performed using a weakly alkaline aqueous solution.

14. A method of forming a patterned insulating film containing a step in which a patterned polysilazane film formed by the method according to claim 12 is converted to a

15. The photosensitive polysilazane composition according to claim 3 wherein said photoacid generator is a peroxide.

16. The photosensitive polysilazane composition according to claim 4 wherein said photoacid generator is a peroxide.

17. The photosensitive polysilazane composition of claim 2 further comprising a sensitizing dye.

18. The photosensitive polysilazane composition of claim 3 further comprising a sensitizing dye.

19. The photosensitive polysilazane composition of claim 6 further comprising a sensitizing dye.

20. The photosensitive polysilazane composition of claim 8 wherein said sensitizing dye is selected from coumarin, ketocoumarin and their derivatives and thiopyrylium salts.

21. The photosensitive polysilazane composition of claim 10 wherein said sensitizing dye is selected from coumarin, ketocoumarin and their derivatives and thiopyrylium salts.

22. The photosensitive polysilazane composition according to claim 9 further comprising an oxidation catalyst.

23. The photosensitive polysilazane composition according to claim 21 wherein said oxidation catalyst is palladium propionate.

## SPECIFICATION

JC08 Rec'd PCT/PTO 04 APR 2001

PHOTOSENSITIVE POLYSILAZANE COMPOSITION AND METHOD OF  
FORMING PATTERNED POLYSILAZANE FILM

5

## Technical Field

The present invention relates to a photosensitive polysilazane composition, and more particularly, to a photodecomposable polysilazane composition that is able to form a film that allows fine patterning processing by light, along with a method of forming a patterned polysilazane film and an insulating film using this composition.

## 15 Background Art

The use of photoresists in the production of semiconductor devices is widely known. Photoresists consist of negative types, which are resistant to dissolving in developing liquid due to exposure to light, and positive types, which, conversely, easily dissolve in developing liquid due to exposure to light.

These photoresists are required to have numerous sophisticated characteristics. In particular, in the processing of semiconductor devices, which are continuing to employ increasingly fine technology, the photoresists used in that processing are required to have high resolution. In general, positive photoresists are able to achieve higher resolution than negative photoresists.

In addition, dry etching is frequently used in fine patterning of semiconductor devices, and those photoresists are also required to withstand oxygen plasma (oxygen plasma resistance).

In general, acrylic polymers and numerous other types of organic materials are used as photoresist materials. Photoresists are removed following completion of patterning (etching treatment) by ashing using oxygen plasma and/or by a suitable solvent.

On the other hand, in the production of semiconductor devices and liquid crystal displays, interlayer insulating films and various other elements are patterned. These elements are typically exposed to high temperatures in excess of 400°C in the production process of semiconductor devices and so forth (for example, wiring deposition process by CVD). Thus, since organic materials have inadequate heat resistance, it is desirable to use inorganic materials. In particular, patterned silica-based ceramic films are known to be useful in semiconductor devices, liquid crystal displays and printed circuit boards, etc. as films having excellent heat resistance as well as excellent wear resistance, corrosion resistance, electrical resistance, transparency and so forth. For example, a method is described in Japanese Unexamined Patent Publication No. 5-88373 for forming a ceramic film pattern by coating a coating liquid containing polysilazane onto a substrate to form a coated film, and irradiating said coated film with ultraviolet light in an oxidizing atmosphere to cure the portion exposed to the ultraviolet light followed by removing the portion not exposed to the ultraviolet light. Since the above ceramic film pattern remains following curing of the portion exposed to light, it is considered to be a negative photoresist.

As described above, the processing of semiconductor devices is employing increasingly fine technology. Consequently, a type of resist is desired that is a positive resist having high resolution which also has a high degree of oxygen plasma resistance. In addition, in the case of use by allowing the patterned film to remain as an interlayer insulating film, in addition to the above requirement relating to hyperfine technology, a material is desired that is superior in terms of high heat resistance, low dielectric constant, transparency and so forth that are required for use as an interlayer insulating film.

## Disclosure of the Invention

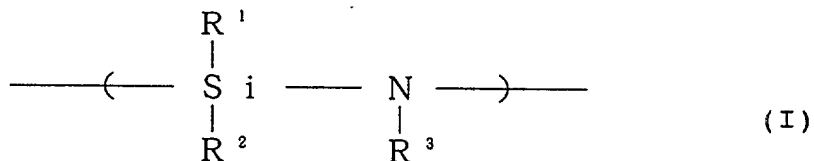
As a result of conducting extensive research, the inventors of the present invention unexpectedly found that, when a photoacid generator is added to a polysilazane, a patterned polysilazane film is obtained by decomposing the polysilazane by irradiation with light, and removing the portion exposed to light by a following development, thereby leading to completion of the present invention.

Namely, the present invention provides the following:

- (1) a photosensitive polysilazane composition containing a polysilazane and a photoacid generator; and,
- (2) a method of forming a patterned polysilazane film comprising: a step in which a coated film is formed of a photosensitive polysilazane composition containing a polysilazane and a photoacid generator, a step in which said coated film is exposed to light in a pattern, and a step in which the exposed portion of said coated film is dissolved off.

The following provides a list of preferable examples of the present invention.

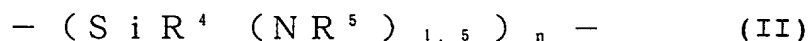
- (3) The photosensitive polysilazane composition described in (1) wherein said polysilazane is mainly a polysilazane or its modification product having a number average molecular weight of 100 to 50,000 that contains the skeleton represented with the following general formula (I):



(wherein,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to

silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group).

(4) The photosensitive polysilazane composition described in (1) wherein said polysilazane is mainly a polysilazane having a number average molecular weight of 100 to 100,000 that contains the skeleton represented with the following general formula (II):



(wherein,  $R^4$  and  $R^5$  respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group, and  $n$  is an arbitrary integer).

(5) The photosensitive polysilazane composition described in (4) wherein, in the above formula (II),  $R^4$  is a methyl group or phenyl group, and  $R^5$  is a hydrogen atom.

(6) The photosensitive polysilazane composition described in (3) wherein, said polysilazane modification product is polyorganosiloxazane having a number average molecular weight of 300 to 100,000 that contains as its main repeating unit  $-(RSiN_3)-$ ,  $-(RSiN_2O)-$ ,  $(RSiNO_2)-$  and  $-(RSiO_3)-$  (wherein,  $R$  is an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, an alkylamino group or an alkylsilyl group).

(7) The photosensitive polysilazane composition described in (1) or any of (3) through (6) wherein, said photoacid generator is a peroxide.

(8) The photosensitive polysilazane composition described in (7) wherein, said peroxide is *t*-butyl peroxybenzoate, 3,3',4,4'-tetra(*t*-butylperoxycarbonyl)benzophenone or  $\alpha,\alpha'$ -bis(*t*-butylperoxy)diisopropylbenzene.

(9) The photosensitive polysilazane composition described in (1) or any of (3) through (8) that additionally contains a sensitizing dye.

5 (10) The photosensitive polysilazane composition described in (9) wherein, said sensitizing dye is selected from coumarin, ketocoumarin and their derivatives and thiopyrylium salts.

10 (11) The photosensitive polysilazane composition described in (9) or (10) that additionally contains an oxidation catalyst.

(12) The photosensitive polysilazane composition described in (11) wherein said oxidation catalyst is palladium propionate.

15 (13) The method described in (2) wherein said dissolving off step is performed using a weakly alkaline aqueous solution.

20 (14) A method of forming a patterned insulating film containing a step in which the patterned polysilazane film formed by the method described in (2) is converted to a silica-based ceramic coating by allowing the film to stand in an ambient atmosphere or by baking the film.

25 According to the present invention, by adding a photoacid generator to polysilazane, the polysilazane is decomposed by exposing it to light in a pattern followed by developing to obtain a patterned polysilazane film.

30 The patterned polysilazane film can be used directly as a photoresist. Since the photoresist according to the present invention is a positive photoresist, it has high resolution as well as greater resistance to oxygen plasma than organic material-based resists. In particular, since the polysilazane film according to the present invention has high resistance to oxygen plasma, it is extremely useful as an alternative material to silicon-containing resists in dual layer resist methods.

35 In addition, by allowing the patterned polysilazane film according to the present invention to stand for a long period of time or by baking the film, a patterned

silica-based ceramic coating is obtained having high heat resistance, low dielectric constant and excellent transparency that are suitable for use as an interlayer insulating film.

5 By incorporating a sensitizing dye into the photosensitive polysilazane composition of the present invention, positive patterning can be performed using an inexpensive light source such as a high-pressure mercury lamp.

10 Moreover, by incorporating an oxidation catalyst into the composition of the present invention that contains a sensitizing dye, the sensitizing dye can be decomposed during baking the patterned coating, thereby obtaining a transparent, silica-based ceramic coating  
15 that is useful as the interlayer insulating film of a liquid crystal display and so forth.

In addition, by adding a pigment to the photosensitive polysilazane composition according to the present invention, a color filter or black matrix can be  
20 produced having excellent heat resistance, insulation and hardness as well as satisfactory pattern accuracy.

#### Brief Description of the Drawings

25 Figs. 1A through 1F are schematic drawings showing the patterning step of an insulating film according to the prior art.

Figs. 2A through 2D are schematic drawings showing the patterning step of a ceramic film according to the present invention.

30

#### Best Mode for Carrying Out the Invention

In addition to being able to use a polysilazane alone, the polysilazane used in the present invention can also be used in the form of a copolymer of a polysilazane  
35 and another polymer, or in the form of a mixture of a polysilazane and other compounds(s).

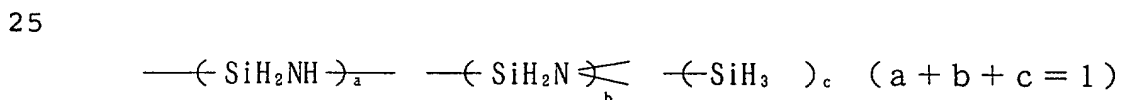
The polysilazane used consists of that which has a



chain, ring or crosslinked structure, or that which simultaneously has a plurality of these structures within its molecule, and these can be used alone or as a mixture.

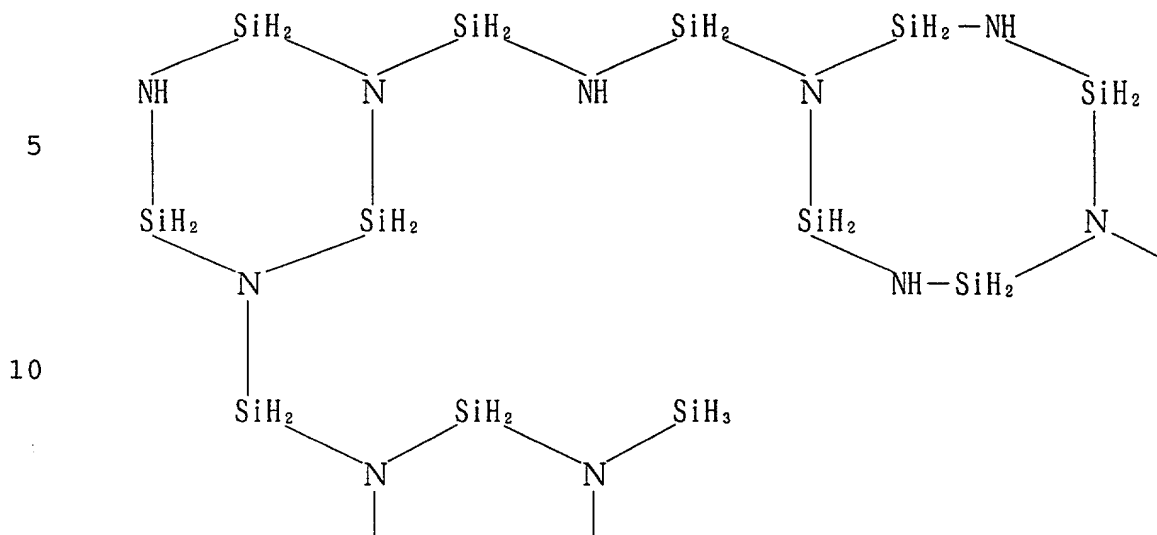
5 Although the following lists some typical examples of polysilazanes used, the polysilazane used in the present invention is not limited to these. A perhydropolysilazane is preferable in terms of hardness and fineness of the resulting film, while  
10 organopolysilazane is preferable with respect to flexibility. Selection of these polysilazanes can be suitably performed by a person with ordinary skill in the art according to the specific application.

15 The compound having hydrogen atoms for  $R^1$ ,  $R^2$  and  $R^3$  in the above general formula (I) is a perhydropolysilazane, and its production method is reported in, for example, Japanese Examined Patent Publication No. 63-16325, and by D. Seyferth et al. in Communication of Am. Cer. Soc., C-13, January 1983.  
20 Although the products obtained from these methods are mixtures of polymers having various structures, they basically contain a chain portion and ring portion in their molecule, and can be represented by the following chemical formula:



An example of the structure of a perhydropolysilazane is shown below.

30



The production method of a polysilazane having hydrogen atoms for  $R^1$  and  $R^2$  and a methyl group for  $R^3$  in general formula (I) is reported by D. Seyferth et al. in Polym. Prepr., Am. Chem. Soc., Div. Polym. Chem., 25, 10 (1984). The polysilazanes obtained by this method are chain polymers and ring polymers having for their repeating unit  $-(SiH_2NCH_3)-$ , and none of them have crosslinked structures.

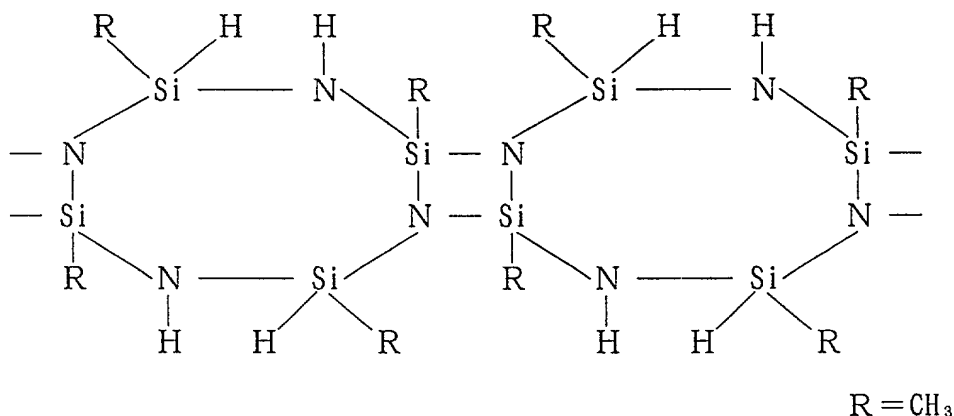
The production method of polyorgano(hydro)silazane having hydrogen atoms for  $R^1$  and  $R^3$  and an organic group for  $R^2$  is reported by D. Seyferth et al. in Polym. Prepr., Am. Chem. Soc., Div. Polym. Chem., 25, 10 (1984), Japanese Unexamined Patent Publication No. 61-89230 and Japanese Unexamined Patent Publication No. 62-156135. Polysilazanes obtained by these methods mainly have a ring structure with a degree of polymerization of 3-5 and have for their repeating unit  $-(R^2SiH_2NH)-$ , or simultaneously have a chain structure and ring structure within their molecules as indicated by the chemical formula  $(R^3SiH_2NH)_x[(R^2SiH_2)_{1.5}N]_{1-x}$  ( $0.4 < x < 1$ ).

Polysilazane having a hydrogen atom for  $R^1$  and organic groups for  $R^2$  and  $R^3$  in general formula (I), or that having organic groups for  $R^1$  and  $R^2$  and a hydrogen

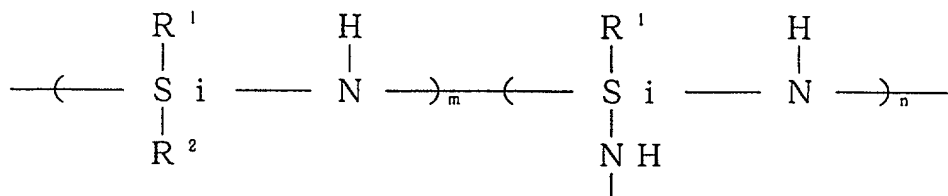
atom for  $R^3$  mainly has a ring structure with a degree of polymerization of 3-5 and has for its repeating unit  $-(R^1R^2SiNR^3)-$ .

Although the polysilazane used has a main skeleton consisting of the unit represented with the above general formula (I), the unit represented by general formula (I) may be in the form of a ring as is also clear from that previously described, and in this case, that ring portion becomes the terminal group. In the case such a ring is not formed, the terminal of the main skeleton can be a group similar to  $R^1$ ,  $R^2$  and  $R^3$  or a hydrogen atom.

Among polyorgano(hydro)silazanes, there are some which have a crosslinked structure within their molecules as reported by D. Seyferth et al. in Communication of Am. Cer. Soc., C-132, July 1984. An example of such a structure is shown below.

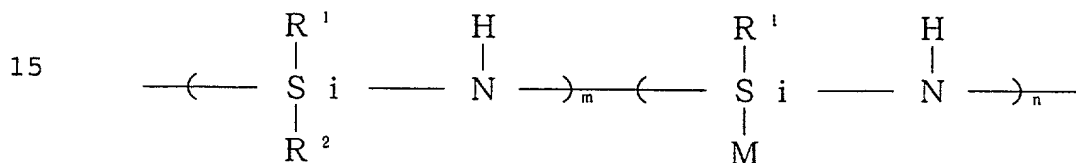


In addition, polysilazanes having a crosslinked structure that are obtained by ammonolysis of  $R^1SiX_3$  ( $X$ : halogen) as reported in Japanese Unexamined Patent Publication No. 49-69717 ( $R^1Si(NH)_x$ ), or polysilazanes having the following structure that are obtained by co-ammonolysis of  $R^1SiX_3$  and  $R^2SiX_2$ , can also be used as starting materials.



5 (m,n: positive integers)

In addition, as an example of a polysilazane modification product, polymetallosilazanes containing a metal atom in the manner of, for example, the following structure (wherein, the side chain metal atom M may or may not crosslink) can also be used as starting materials.



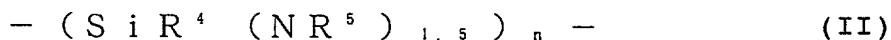
15 (m,n: positive integers)

20 (M: metal atom)

Other polysilazanes that can be used include polysiloxazanes represented with the repeating units of  $[(\text{SiH}_2)_n(\text{NH})_m]$  and  $[(\text{SiH}_2)_r\text{O}]$  (wherein, n, m and r are respectively 1, 2 or 3) as reported in Japanese Unexamined Patent Publication No. 62-195024, polyborosilazanes having excellent heat resistance produced by reacting a boron compound with a polysilazane as reported in Japanese Unexamined Patent Publication No. 2-84437, polymetallosilazanes produced by reacting a polysilazane with a metal alkoxide as reported in Japanese Unexamined Patent Publication No. 63-81122, Japanese Unexamined Patent Publication No. 63-191832 and Japanese Unexamined Patent Publication No. 2-77427, inorganic silazane polymers and modified polysilazanes having increased molecular weight (as described in the first four following publications) or improved hydrolysis resistance (as described in the last two following

publications) as reported in Japanese Unexamined Patent Publication No. 1-138108, Japanese Unexamined Patent Publication No. 1-138107, Japanese Unexamined Patent Publication No. 1-203429, Japanese Unexamined Patent Publication No. 1-203430, Japanese Unexamined Patent Publication No. 4-63833 and Japanese Unexamined Patent Publication No. 3-320167, copolymer polysilazanes useful for thick film formation in which an organic component is introduced into a polysilazane as reported in Japanese Unexamined Patent Publication No. 2-175726, Japanese Unexamined Patent Publication No. 5-86200, Japanese Unexamined Patent Publication No. 5-331293 and Japanese Unexamined Patent Publication No. 3-31326, and low-temperature ceramic polysilazane converting to a ceramic at lower temperatures that allows application to plastic or a metal such as aluminum to which has been imparted with have added to a catalyst compound for promoting ceramic conversion of polysilazane as reported in Japanese Unexamined Patent Publication No. 5-238827, Japanese Unexamined Patent Publication No. 6-122852, Japanese Unexamined Patent Publication No. 6-299188, Japanese Unexamined Patent Publication No. 6-306329, Japanese Unexamined Patent Publication No. 6-240208 and Japanese Unexamined Patent Publication No. 7-196986.

Polysilazanes that are particularly suitable in the present invention are polysilazanes having a number average molecular weight of 100-100,000, and preferably 300-10,000, containing a skeleton mainly represented with the following general formula (II):



(wherein,  $R^4$  and  $R^5$  are respectively and independently a hydrogen atom, alkyl group, alkenyl group, cycloalkyl group, aryl group, a group other than the above groups in which the portion that bonds directly to silicon or nitrogen is carbon, an alkylsilyl group, alkylamino group

or alkoxy group, and n is an arbitrary integer). The most preferable polysilazane is polymethylsilazane in which  $R^4$  is a methyl group and  $R^5$  is a hydrogen atom in formula (II), or a polyphenylsilazane in which  $R^4$  is a phenyl group and  $R^5$  is a hydrogen atom in formula (II).

These polysilazanes are easily obtained by using  $R^4SiCl_3$  for the starting material in ammonolysis when synthesizing ordinary polysilazanes. Namely, polymethylsilazane is obtained from  $CH_3SiCl_3$ , while polyphenylsilazane is obtained from  $C_6H_5SiCl_3$ . Japanese Examined Patent Publication No. 63-16325, by the present applicant, for example, should be referred to with respect to the ammonolysis during polysilazane synthesis.

Examples of preferable polysilazane modification products in the present invention include polyorganosiloxazanes, having a number average molecular weight of 300-100,000, that contain as their main repeating unit  $-(RSiN_3)-$ ,  $-(RSiN_2O)-$ ,  $-(RSiNO_2)-$  and  $-(RSiO_3)-$  (wherein, R is an alkyl group, alkenyl group, cycloalkyl group, aryl group, alkylamino group or alkylsilyl group). These polyorganosiloxazanes can be produced by reacting ammonia and water with an organic halosilane represented with general formula  $R_nSiX_{4-n}$  (wherein, R is an alkyl group, alkenyl group, cycloalkyl group, aryl group, alkylamino group or alkylsilyl group, X is a halogen atom and n is 1 or 2). Since these polyorganosiloxazanes allow the obtaining of a baked film that exhibits a low dielectric constant even when treated at high temperatures, they are particularly useful as the precursors of interlayer insulating films. In addition, in the case of polyorganosiloxazanes, the relative dielectric constants of the baked films can be controlled by changing the oxygen content contained in the main chain, thereby offering the advantage of being able to easily provide the desired relative dielectric constant. The specification of Japanese Patent Application No. 10-528633 filed by the present applicant should be referred

to for details regarding such polyorganosiloxazanes and their production method.

5 The photosensitive polysilazane composition according to the present invention contains a photoacid generator. A photoacid generator is transformed to an excited state either directly by exposure to light in a characteristic photosensitive wavelength region, or indirectly by exposing to light in a wavelength region in which a sensitizing dye is excited in the case of using a sensitizing dye. The Si-N bonds of polysilazane are  
10 cleaved by the photoacid generator in an excited state, and silanol (Si-OH) bonds are thought to be formed as a result of reacting with moisture in the atmosphere by going through a dangling bond state. Since silanol is  
15 soluble in the developing liquid to be described later, only the portion of the coated film of the photosensitive polysilazane composition exposed to light is dissolved, resulting in the achievement of positive patterning.

Said photoacid generator can be a peroxide.  
20 Specific examples of peroxide-based photoacid generators include 3,3',4,4'-tetra(t-butylperoxycarbonyl)benzophenone, t-butyl peroxybenzoate, methyl ethyl ketone peroxide, cyclohexanone peroxide, methylcyclohexanone peroxide, methylacetoacetate  
25 peroxide, acetylacetone peroxide, 1,1-bis(t-hexylperoxy)3,3,5-trimethylcyclohexane, 1,1-bis(t-hexylperoxy)cyclohexane, 1,1-bis(t-butylperoxy)3,3,5-trimethylcyclohexane, di-t-butylperoxy-2-methylcyclohexane, 1,1-bis(t-butylperoxy)cyclohexane,  
30 1,1-bis(t-butylperoxy)cyclododecane, 2,2-bis(t-butylperoxy)butane, n-butyl 4,4-bis(t-butylperoxy)valerate, 2,2-bis(4,4-di-t-butylperoxycyclohexyl)propane, p-menthane hydroperoxide, diisopropylbenzene hydroperoxide, 1,1,3,3-  
35 tetramethylbutyl hydroperoxide, cumene hydroperoxide, t-hexyl hydroperoxide, t-butyl hydroperoxide,  $\alpha,\alpha'$ -bis(t-butylperoxy)diisopropylbenzene, dicumyl peroxide, 2,5-





sulfonate chloride, 1,2-naphthoquinone-(2)-diazido-4-sulfonate chloride, mono- to triesters of 2,3,4-trihydroxybenzophenone, and 6-diazo-5,6-dihydro-5-oxo-naphthalene-1-sulfonic acid, and mono- to triesters of 2,3,4,4'-trihydroxybenzophenone, 6-diazo-5,6-dihydro-5-oxo-naphthalene-1-sulfonic acid and the like. Specific examples of nitrobenzyl ester-based photoacid generators include nitrobenzyl tosylate, dinitrobenzyl tosylate, nitrobenzyl chloride, dinitrobenzyl chloride, nitrobenzyl bromide, dinitrobenzyl bromide, nitrobenzyl acetate, dinitrobenzyl acetate, nitrobenzyl trichloroacetate, nitrobenzyl trifluoroacetate and the like. Another useful photoacid generator is benzoin tosylate. These photoacid generators can also be used in combination as necessary.

The photosensitive polysilazane composition according to the present invention typically contains 0.05-50 wt% of the above photoacid generator relative to the weight of polysilazane according to the type of photoacid generator and application. If the content of photoacid generator is less than 0.05 wt%, the decomposition reaction rate becomes extremely slow, and conversely, if the content of photoacid generator exceeds 50 wt%, it becomes difficult to obtain a fine film that is a characteristic inherent to polysilazane. The photoacid generator is preferably contained at 0.1-20 wt%, and more preferably at 1-20 wt%, relative to the weight of polysilazane.

Preparation of the photosensitive polysilazane composition according to the present invention is performed by adding the above photoacid generator to polysilazane. The photoacid generator is preferably mixed uniformly and, in order to accomplish this, the polysilazane and photoacid generator are preferably mixed while adequately stirring, or they are mixed after being respectively dissolved in a solvent to be described later and diluted. In the case the photoacid generator is a

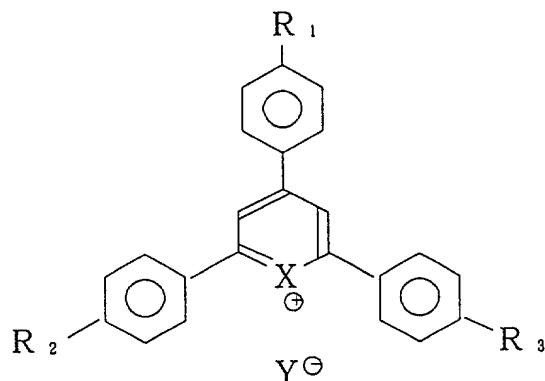
solid during mixing in particular, it is preferable to mix after first dissolving in a solvent.

There are no particular restrictions on the temperature and pressure during addition, and addition  
5 can be performed at room temperature and under atmospheric pressure. However, during the time from addition of photoacid generator to the development step to be described later, work should be performed in an atmosphere that does not contain the photosensitive  
10 wavelength of the optically acid-generating agent used, and preferably in a dark location, so as to prevent excitation of the photoacid generator.

There are cases in which it is advantageous to mix a sensitizing dye into the photosensitive polysilazane  
15 composition according to the present invention. Depending on the particular photoacid generator, as in the manner of, for example, 3,3',4,4'-tetra(t-butylperoxycarbonyl)benzophenone, the wavelength at which that substance itself is excited is shorter than about  
20 330 nm. In the case of performing light exposure using an excimer laser such as a KrF-based (248 nm) or ArF-based (193 nm) laser, since the photoacid generator is excited directly, there is no need to use a sensitizing dye. However, in the case of using an inexpensive light  
25 source such as a high-pressure mercury lamp (360-430 nm), the photoacid generator can be excited indirectly by combining a sensitizing dye that is excited in that wavelength region. In this manner, the photosensitive polysilazane composition of the present invention can be  
30 patterned using an inexpensive light source by adding a sensitizing dye.

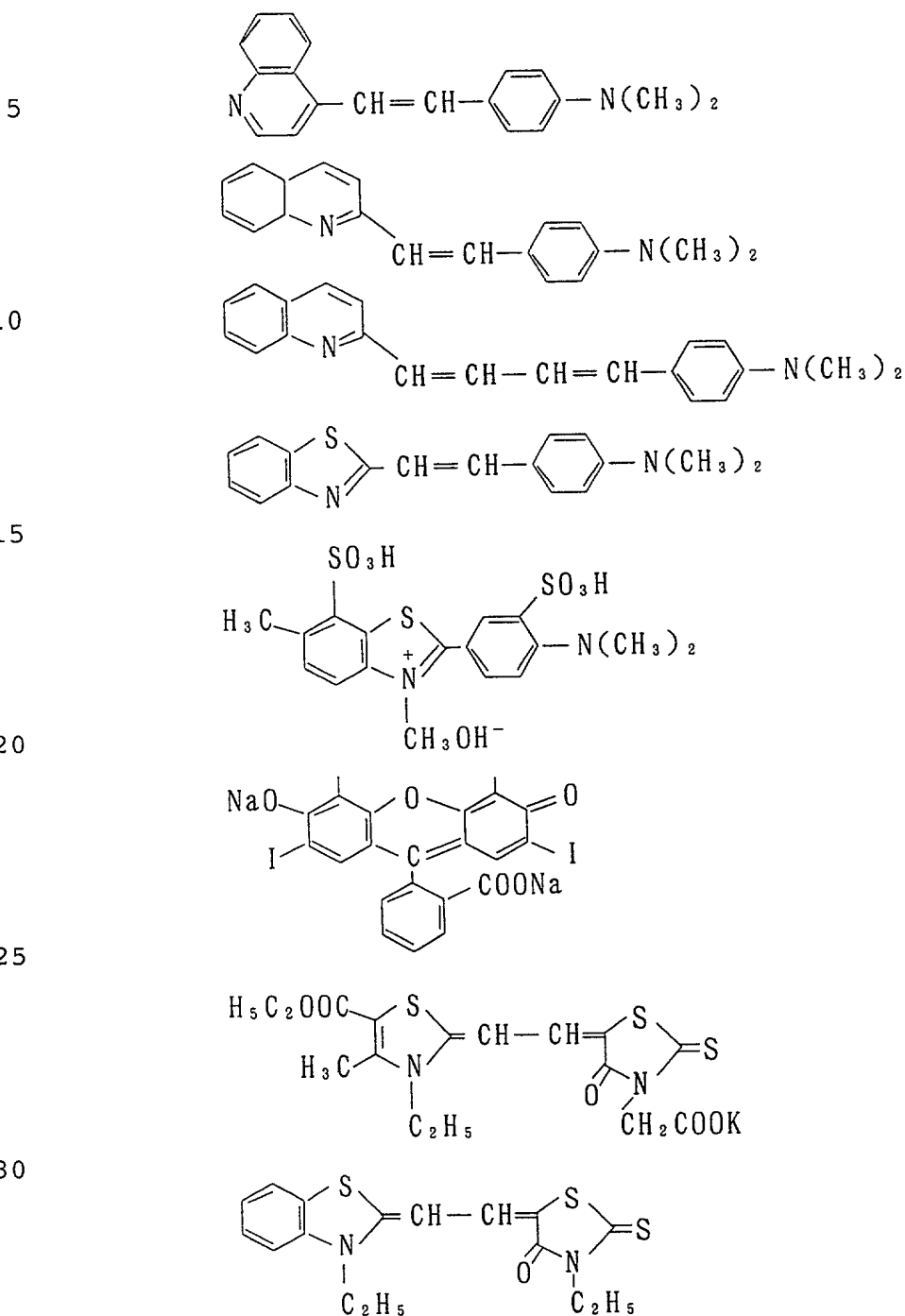
Examples of the sensitizing dye that can be used in the photosensitive polysilazane composition of the present invention include coumarin, ketocoumarin and  
35 their derivatives, thiopyrylium salts and so forth, specific examples of which include p-bis(o-methylstyryl)benzene, 7-dimethylamino-4-methylquinolone-

2, 7-amino-4-methylcoumarin, 4,6-dimethyl-7-ethylaminocoumarin, 2-(p-dimethylaminostyryl)-pyridylmethyl iodide, 7-diethylaminocoumarin, 7-diethylamino-4-methylcoumarin, 2,3,5,6-1H,4H-tetrahydro-8-methylquinolidino-<9,9a,1-gh>coumarin, 7-diethylamino-4-trifluoromethylcoumarin, 7-dimethylamino-4-trifluoromethylcoumarin, 7-amino-4-trifluoromethylcoumarin, 2,3,5,6-1H,4H-tetrahydroquinolidino-<9,9a,1-gh>coumarin, 7-ethylamino-6-methyl-4-trifluoromethylcoumarin, 7-ethylamino-4-trifluoromethylcoumarin, 2,3,5,6-1H,4H-tetrahydro-9-carboethoxyquinolidino-<9,9a,1-gh>coumarin, 3-(2'-N-methylbenzimidazolyl)-7-N,N-diethylaminocoumarin, N-methyl-4-trifluoromethylpiperidino-<3,2-g>coumarin, 2-(p-dimethylaminostyryl)-benzothiazolylethyl iodide, 3-(2'-benzimidazolyl)-7-N,N-diethylaminocoumarin, 3-(2'-benzothiazolyl)-7-N,N-diethylaminocoumarin, and the pyrylium salts and thiopyrylium salts represented by the formula indicated below.



X	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	Y
S	OC <sub>4</sub> H <sub>9</sub>	H	H	BF <sub>4</sub>
S	OC <sub>4</sub> H <sub>9</sub>	H	H	BF <sub>4</sub>
S	OC <sub>4</sub> H <sub>9</sub>	OCH <sub>3</sub>	OCH <sub>3</sub>	BF <sub>4</sub>
S	H	OCH <sub>3</sub>	OCH <sub>3</sub>	BF <sub>4</sub>
S	N(CH <sub>3</sub> ) <sub>2</sub>	H	H	ClO <sub>2</sub>
O	OC <sub>4</sub> H <sub>9</sub>	H	H	SbF <sub>6</sub>

Moreover, the following lists different specific examples of sensitizing dyes.



Particularly preferable sensitizing dyes are 7-diethylamino-4-methylcoumarin and 7-diethylamino-4-trifluoromethylcoumarin.

In the case of combining a sensitizing dye, the above sensitizing dye should be typically contained at 0.05-50 wt%, and preferably at 1-20 wt%, relative to the weight of polysilazane in the photosensitive polysilazane composition according to the present invention.

In the case of mixing a sensitizing dye into the photosensitive polysilazane composition according to the present invention, the resulting film may be colored. In the case of using said composition as a photoresist, since said resist is removed following completion of the desired patterning, coloring of the resist hardly presents any problems. However, in the case of preparing an interlayer insulating film that is patterned using the composition of the present invention and so forth, when this is used without removing the film containing a sensitizing dye after patterning, there are cases in which it is necessary that the film after baking be transparent with respect to visible light. In such cases as well, the photoacid generator contained in the composition of the present invention is able to decompose the sensitizing dye during film baking to make the film transparent. Moreover, although not directly involved in the photoreaction, by separately adding an oxidation catalyst that decomposes the sensitizing dye during film baking to the composition of the present invention, an even more transparent film can be obtained. Examples of such oxidation catalysts include organic compounds and fine particles, etc. of metals, such as palladium propionate, palladium acetate, platinum acetylacetonate, platinum ethylacetonate, palladium fine particles and platinum fine particles.

In the case of adding an oxidation catalyst, typically 0.05-10 wt%, and preferably 0.1-5 wt%, should be contained relative to the weight of polysilazane in the photosensitive polysilazane composition according to the present invention. In addition, the addition of this oxidation catalyst not only decomposes and decolorizes

any unnecessary dye, but also promotes the ceramic conversion of a polysilazane.

As a different mode of the present invention, a polysilazane composition can be obtained that is suitable for the production of color filters and black matrices having excellent heat resistance, insulation and hardness as well as satisfactory pattern accuracy by adding a pigment to the above photosensitive polysilazane composition. In color filters and black matrices obtained from the photosensitive polysilazane composition containing a pigment according to the present invention, since the pigment is dispersed in silica-based ceramics, oxygen is blocked out resulting in excellent heat resistance (oxidation resistance at high temperatures), and even if the pigment itself is electrically conductive, an insulator is obtained in the form of a color filter or black matrix. In addition, since silica-based ceramic films have a higher degree of hardness than typical acrylic, polyimide or other organic films, the surface workability (film deposition, wiring and bonding work) of the resulting color filter or black matrix is satisfactory, making it possible to improve the yield. Moreover, the amount of gas generated by the ceramic film during heating is extremely low in comparison with the amount of gas generated from typical organic films.

Examples of pigments that can be added to the photosensitive polysilazane composition of the present invention include graphite, carbon black, titanium black, iron oxide, copper-chromium black, copper-iron-manganese black and cobalt-iron-chromium black. The amount of pigment added is typically 0.05-1000 wt%, and preferably 10-500 wt%, relative to the weight of polysilazane.

In the case of using a pigment, preparation of the photosensitive polysilazane composition containing the pigment according to the present invention is performed by adding the above photoacid generator and/or the above sensitizing dye and/or the above oxidation catalyst along

with the pigment to polysilazane. Although there is no particular problem with the order of addition, it is preferable that both be mixed uniformly. In order to accomplish this, it is preferable that they be mixed with adequate stirring during addition, or that they be mixed after dissolving or dispersing photoacid generator and/or the above sensitizing dye and/or the above oxidation catalyst in a solvent to be described later and diluting.

In the case of using a solvent, it is preferable to use an aromatic compound such as benzene, toluene, xylene, ethylbenzene, diethylbenzene, trimethylbenzene or triethylbenzene; cyclohexane; cyclohexene; decahydronaphthalene; dipentene; a saturated hydrocarbon compound such as n-pentane, i-pentane, n-hexane, i-hexane, n-heptane, i-heptane, n-octane, i-octane, n-nonane, i-nonane, n-decane or i-decane; ethylcyclohexane; methylcyclohexane; p-menthane; ethers such as dipropyl ether and dibutyl ether; ketones such as methyl isobutyl ketone (MIBK); or esters such as butyl acetate, cyclohexyl acetate and butyl stearate. In the case of using these solvents, two or more types of solvents may be mixed to adjust the solubility of polysilazane or adjust the evaporation rate of solvent.

The amount of solvent used (ratio) is selected so as to improve workability according to the coating method to be employed later. Since this varies according to the average molecular weight, molecular weight distribution and structure of the polysilazane used, they can be mixed freely as is appropriate. However, it is preferable that the polysilazane concentration be 0.1-50 wt%, and more preferably 0.1-40 wt%, in consideration of the stability and the production efficiency of the polysilazane.

In addition, a suitable filler and/or extender can be added to the photosensitive polysilazane composition according to the present invention as necessary. Examples of fillers include fine particles of oxide-based inorganic substances such as silica, alumina, zirconia or

mica, or non-oxide-based inorganic substances such as silicon carbide and silicon nitride. A powder of metal such as aluminum, zinc and copper may also be added to the composition, depending on applications. These fillers may be in the form of needles (including whiskers), particles, scales or various other forms, and they may be used alone or as a mixture of two or more types. In addition, the particle size of these fillers is preferably smaller than the film thickness that can be applied once. In addition, the amount of filler added is within the range of 0.05-10 parts by weight, and particularly preferably 0.2-3 parts by weight, relative to 1 part by weight of polysilazane.

Various pigments, leveling agents, antifoaming agents, antistatic agents, UV absorbers, pH adjusters, dispersants, surface modifiers, plasticizers, drying accelerators and antirunning agents may be added as necessary to the photosensitive polysilazane composition of the present invention.

According to the present invention, a method is provided for forming a patterned silica-based ceramic film using the above photosensitive polysilazane composition. Namely, the method of the present invention comprises: a step in which a coated film is formed of a photosensitive polysilazane composition containing a polysilazane and a photoacid generator, a step in which said coated film is exposed to light in a pattern, and a step in which the exposed portion of said coated film is dissolved off.

An ordinary coating method, namely immersion, roll coating, bar coating, brush coating, spray coating, flow coating or spin coating and so forth is employed for forming the coated film of the photosensitive polysilazane composition according to the present invention, and coating can be performed on a suitable substrate such as a silicon substrate or glass substrate. In addition, if the base material is a film, coating can



also be performed by gravure coating. A drying step for the coated film can also be provided separately as desired.

5 The coated film can be given a desired film thickness by coating once or repeatedly coating two or more times as necessary. Although the desired film thickness varies according to the particular application, in the case of a photoresist, for example, a general indicator of film thickness is 0.05-2  $\mu\text{m}$ , that in the 10 case of an interlayer insulating film is 0.5-4  $\mu\text{m}$ , and that in the case of a color filter or black matrix is 0.3-3  $\mu\text{m}$ .

15 After forming a coated film of the photosensitive polysilazane composition of the present invention, in order to dry said coated film and reduce the amount of gas discharged later, it is preferable to pre-bake (heat-treat) said coated film. The pre-baking step can be carried out at a temperature of typically 40-200°C, and preferably 60-120°C, for 10-180 seconds, and preferably 20 30-90 seconds, in the case of using a hot plate, or for 1-30 minutes, and preferably 5-15 minutes, in the case of using a clean oven.

25 After forming a coated film of the photosensitive polysilazane composition of the present invention, and pre-baking as necessary, said coated film is exposed to light in a pattern. Examples of light sources that can be used include a high-pressure mercury lamp, low-pressure mercury lamp, metal halide lamp and excimer laser. The light used for exposure is typically light 30 having a wavelength of 360-430 nm (high-pressure mercury lamp) with the exception of applications involving hyperfine processing as in the manner of semiconductors. In the case of liquid crystal displays in particular, light having a wavelength of 430 nm is frequently used. 35 In such cases, it is advantageous to combine a sensitizing dye with the photosensitive polysilazane

composition of the present invention as was previously described.

Although varying according to the light source and expected film thickness, the energy of the radiated light is typically 5-4000 mJ/cm<sup>2</sup>, and preferably 10-2000 mJ/cm<sup>2</sup>. If this energy is lower than 5 mJ/cm<sup>2</sup>, the polysilazane is not adequately decomposed, and conversely, if this energy exceeds 4000 mJ/cm<sup>2</sup>, exposure becomes excessive, possibly inviting the occurrence of halation.

In order to expose to light in a pattern, an ordinary photomask may be used, and such photomasks are commonly known to persons with ordinary skill in the art.

Although the environment during exposure may typically be an ambient atmosphere (air) or nitrogen, the atmosphere may also be enriched with oxygen to promote decomposition of polysilazane.

In the exposed portion of the photosensitive polysilazane composition that has been exposed to light in a pattern, the Si-N bond of the polysilazane is cleaved and, as a result of reacting with moisture in the atmosphere by going through a dangling bond state, a silanol (Si-OH) bond is formed and the polysilazane decomposes. In order to promote the formation of this silanol bond, water, and preferably pure water, may be brought in contact with the polysilazane composition following exposure. The exposed portion of the photosensitive polysilazane composition is removed by developing the coated film after exposure, and a pattern (positive type) is formed as a result of the non-exposed portion remaining on the substrate. Since the residual polysilazane hardly swells at all in the developing liquid to be described later, the pattern of the radiated light and the pattern of the polysilazane that is dissolved away are nearly completely identical, resulting in a satisfactory pattern accuracy (resolution).

An alkaline aqueous solution can be used for the

developing liquid during removal, namely development, of the exposed portion of the photosensitive polysilazane composition. Examples of such alkaline aqueous solutions include aqueous solutions of tetramethylammonium hydroxide (TMAH), sodium silicate, sodium hydroxide and potassium hydroxide. In the development in the present invention, it is convenient to use a roughly 2% aqueous solution of TMAH, which is the industry standard alkaline developing liquid.

Although varying according to film thickness and solvent, the time required for developing is typically 0.1-5 minutes, and preferably 0.5-3 minutes. In addition, the developing treatment temperature is typically 20-50°C, and preferably 20-30°C.

As a result of developing, the exposed portion of the photosensitive polysilazane composition is removed and patterning is completed. The patterned polysilazane film can be used as is as a photoresist having strong chemical resistance. Since the photoresist according to the present invention is of the positive type, it has high resolution and is highly resistant to dry etching. In particular, since the photoresist according to the present invention is highly resistant to oxygen plasma, it is extremely useful as an alternative material to silicon-containing resists in dual layer resist methods.

After etching a lower layer or substrate by using the photoresist according to the present invention as a protective film, the photoresist that is no longer required is removed. The polysilazane may be dissolved off using the above polysilazane solvent in order to remove the photoresist of the present invention.

In the case of using the patterned polysilazane film according to the present invention by allowing it to remain in the form of an interlayer insulating film and so forth, it may be converted to a silica-based ceramic coating having high heat resistance, low dielectric constant and excellent transparency, and so forth, by

allowing it to stand for a long period of time or by  
baking it. In the case of allowing a polysilazane film  
to stand after developing, it may typically be allowed to  
stand for a long time, for example one day or more, in an  
5 ambient atmosphere (in air at room temperature). In  
addition, in the case of baking, although varying  
according to the type of polysilazane used as well as the  
heat resistance of the substrate, electronic components  
and so forth, the baking temperature is typically 50-  
10 1000°C, preferably 100-1000°C and more preferably 150-  
450°C. Baking time is typically 5 minutes or more, and  
preferably 10 minutes or more. Although the baking  
atmosphere may typically be an ambient atmosphere (air),  
an atmosphere rich in oxygen and/or water vapor partial  
15 pressure may be used to promote the oxidation of  
polysilazane.

A silica-based ceramic coating obtained in this  
manner is able to demonstrate a dielectric constant of 5  
or less, and depending on the particular case, 3.3 or  
20 less, as well as a resistivity of  $10^{13}\Omega\text{cm}$  or more.

According to the present invention, since  
polysilazane can be patterned directly in a  
photolithography step, a conventional photoresist is no  
longer required in the patterning step of an insulating  
25 film or other ceramic film, thereby resulting in  
simplification of the process. Namely, as shown in Figs.  
1A through 1F, although a coating/baking step for forming  
an insulating film on a substrate (1A), a coating/pre-  
baking step for forming a resist on an insulating film  
30 (1B), a mask alignment/exposure step in which exposure is  
performed in a pattern through a photomask (1C), a resist  
developing/post-baking step (1D), an insulating film  
etching step (1E) and a resist ashing step (1F) are  
required in the method of the prior art, according to the  
35 present invention, as shown in Figs. 2A through 2D, only  
a step for coating polysilazane (2A), a mask  
alignment/exposure step for exposing in a pattern through

a photomask (2B), a developing step (2C) and a baking step (2D) are required, thereby making it possible to eliminate the resist coating/pre-baking step and resist ashing step, making this invention extremely useful.

5           The following provides an additional explanation of the present invention through its examples.

Example 1

15           15 wt% of the photoacid generator t-butylperoxybenzoate was added to a 20% butyl acetate solution of polyphenylsilazane relative to the amount of polyphenylsilazane.

20           This solution was spin-coated (rotating speed: 1500 rpm) onto a silicon wafer to form a coated film. After hot air drying this coated film for 20 minutes at 70°C, it was exposed to light at 100 mJ/cm<sup>2</sup> of a KrF excimer laser having a wavelength of 248 nm through a photomask having a prescribed pattern.

25           Following exposure, the coated film was immersed for 2 minutes in pure water. When the coated film was subsequently immersed for 3 minutes in a 2% aqueous solution of tetramethylammonium hydroxide (TMAH) (developing liquid), the exposed portion of the coated film dissolved and a pattern originating in the photomask was formed. The patterning accuracy was 0.75 μm or better.

30           Following patterning, the coated film was heat-treated for 10 minutes at 170°C in an air atmosphere using a hot plate in order to dry the coated film and reduce the amount of subsequent degassing. The film thickness of the coated film after heat treatment was 0.45 μm.

35           The silicon wafer having this patterned coated film was placed in an asher (oxygen plasma ashing system), high-frequency power of 500 W and 13.56 MHz was applied at 500 millitorr (about 66.6 Pa), and the wafer was exposed to oxygen plasma for 10 minutes. When the

silicon wafer was subsequently taken out of the asher and the film thickness of the coated film was measured, it was found to be 0.43  $\mu\text{m}$ , and demonstrated a residual ratio of about 96%. This result indicates that photosensitive polyphenylsilazane is useful as a photoresist.

Example 2

5 wt% of the photoacid generator 3,3',4,4'-tetra(t-butylperoxycarbonyl)benzophenone was added to a 15% cyclohexyl acetate solution of polymethylsilazane relative to the amount of polymethylsilazane.

This solution was spin-coated (rotating speed: 1500 rpm) onto a silicon wafer to form a coated film. After hot air drying this coated film for 20 minutes at 70°C, it was exposed to light at 100 mJ/cm<sup>2</sup> of a KrF excimer laser having a wavelength of 248 nm through a photomask having a prescribed pattern.

Following exposure, the coated film was immersed for 2 minutes in pure water. When the coated film was subsequently immersed for 3 minutes in a 2% aqueous solution of TMAH (developing liquid), the exposed portion of the coated film dissolved and a pattern originating in the photomask was formed. The patterning accuracy was 0.75  $\mu\text{m}$  or more.

Following patterning, the coated film was heat-treated for 10 minutes at 170°C in an air atmosphere using a hot plate in order to dry the coated film and reduce the amount of subsequent degassing. The film thickness of the coated film after heat treatment was 0.51  $\mu\text{m}$ .

The silicon wafer having this patterned coated film was placed in an asher, high-frequency power of 500 W and 13.56 MHz was brought in at 500 millitorr (about 66.6 Pa), and the wafer was exposed to oxygen plasma for 10 minutes. When the silicon wafer was subsequently taken out of the asher and the film thickness of the coated

film was measured, it was found to be 0.48  $\mu\text{m}$ , and demonstrated a residual ratio of about 94%. This result indicates that photosensitive polymethylsilazane is useful as a photoresist.

5           Comparative Example

An acrylic positive resist (TOK: OFPR-800) was coated onto a silicon wafer to form a coated film of about 2  $\mu\text{m}$ . This film was heat-treated for 20 minutes at 140°C in an air atmosphere using a hot plate.

10           When this resist film was exposed to oxygen plasma in an asher in the same manner as Example 1, the entire film was lost.

Example 3

15           5 wt% of the photoacid generator 3,3',4,4'-tetra(t-butylperoxycarbonyl)benzophenone was added to a 15% cyclohexyl acetate solution of polymethylsilazane relative to the amount of polymethylsilazane, followed by addition of 10 wt% of the sensitizing dye 7-diethylamino-4-trifluoroethylcoumarin relative to the amount of  
20           polymethylsilazane.

This solution was spin-coated (rotating speed: 1500 rpm) onto a silicon wafer to form a coated film. After hot air drying this coated film for 10 minutes at 50°C, it was exposed to light at 50 mJ/cm<sup>2</sup> of a high-pressure  
25           mercury lamp through a photomask having a prescribed pattern.

Following exposure, the coated film was immersed for 2 minutes in pure water. When the coated film was subsequently immersed for 3 minutes in a 2% aqueous  
30           solution of TMAH (developing liquid), the exposed portion of the coated film dissolved and a pattern originating in the photomask was formed.

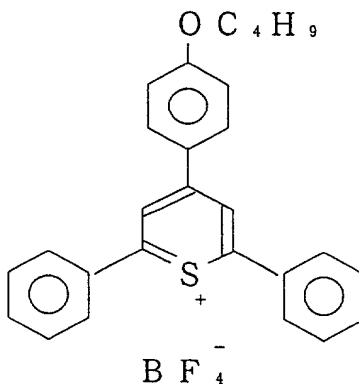
Following patterning, the coated film was pre-baked for 3 minutes at 150°C using a hot plate, and baked for 1  
35           hour in a clean oven at 300°C to convert it into a ceramic. The film thickness of the baked film was 0.50

μm.

This baked film exhibited a resistivity of  $5 \times 10^{14}$  and a dielectric constant of 3.0. In addition, the transmittance of the baked film was 99.9% at 500 nm, and 99.1% at 400 nm. These results indicate that the photosensitive polymethylsilazane according to the present invention is also useful as a patternable interlayer insulating film having high insulation, low dielectric constant and excellent transparency.

#### Example 4

10 wt% of the photoacid generator  $\alpha, \alpha'$ -bis(t-butylperoxy)diisopropylbenzene was added to a 40% dibutyl ether solution of polyphenylsilazane relative to the amount of polybutylsilazane, followed by the addition of 5 wt% of the thiopyrylium salt sensitizing dye having the following formula relative to the amount of polyphenylsilazane.



This solution was spin-coated (rotating speed: 1500 rpm) onto a silicon wafer to form a coated film. After hot air drying this coated film for 20 minutes at 70°C, it was exposed to light at 50 mJ/cm<sup>2</sup> of a high-pressure mercury lamp through a photomask having a prescribed pattern.

Following exposure, the coated film was immersed for 2 minutes in pure water. When the coated film was subsequently immersed for 3 minutes in a 2% aqueous



solution of TMAH (developing liquid), the exposed portion of the coated film dissolved and a pattern originating in the photomask was formed.

5       Following patterning, the coated film was pre-baked for 3 minutes at 150°C using a hot plate, and baked for 1 hour in a baking oven at 400°C to convert it into a ceramic. The film thickness of the baked film was 2.5 μm.

10       This baked film exhibited resistivity of  $7 \times 10^{14}$  and a dielectric constant of 2.9. In addition, the transmittance of the baked film was 99% at 500 nm, and 96% at 400 nm. These results indicate that the photosensitive polyphenylsilazane according to the present invention is also useful as a patternable  
15       interlayer insulating film having high insulation, low dielectric constant and excellent transparency.

Example 5

20       10 wt% of the photoacid generator  $\alpha, \alpha'$ -bis(t-butylperoxy)diisopropylbenzene was added to a 15% dibutyl ether solution of polyphenylsiloxazane (oxygen content of 10 atomic % relative to Si) relative to the amount of polyphenylsiloxazane, followed by the addition of 5 wt% of thiopyrylium salt sensitizing dye.

25       This solution was spin-coated (rotating speed: 1500 rpm) onto a silicon wafer to form a coated film. After hot air drying this coated film for 3 minutes at 80°C, it was exposed to light at 50 mJ/cm<sup>2</sup> of a high-pressure mercury lamp through a photomask having a prescribed pattern.

30       Following exposure, the coated film was immersed for 2 minutes in pure water. When the coated film was subsequently immersed for 3 minutes in a 2% aqueous solution of TMAH (developing liquid), the exposed portion of the coated film dissolved and a pattern originating in  
35       the photomask was formed.

      Following patterning, the coated film was pre-baked

for 5 minutes at 170°C using a hot plate, and baked for 1 hour in a baking oven at 400°C to convert the coated film into a ceramic. The film thickness of the baked film was 0.35 µm.

5           This baked film exhibited a resistivity of  $5 \times 10^{14}$  and a dielectric constant of 2.7. These results indicate that the photosensitive polyphenylsiloxazane according to the present invention is also useful as a patternable interlayer insulating film having high insulation and low dielectric constant.

#### Example 6

15           5 wt% of esters (mixture of mono-, di- and tri-esters) of 2,3,4-trihydroxybenzophenone and 6-diazo-5,6-dihydro-5-oxo-naphthalene-1-sulfonic acid was added to a 20% PGMEA (propylene glycol monomethyl ether acetate) solution of polymethylsilazane relative to the amount of polymethylsilazane.

20           This solution was spin-coated (3000 rpm) onto a silicon wafer to form a coated film. After drying this coated film for 1 minute at 90°C over a hot plate, it was exposed to light at 40 mJ/cm<sup>2</sup> of a high-pressure mercury lamp through a photomask having a prescribed pattern.

25           Following exposure, the coated film was allowed to stand for 10 minutes in air. When the coated film was subsequently immersed for 1 minute in a 2.38% aqueous solution of TMAH, the exposed portion dissolved and a pattern originating in the photomask was formed.

30           When this film was baked for 30 minutes in a clean oven at 400°C followed by SEM observation, patterning was confirmed to have a minimum line width of 0.5 µm.

#### Industrial Application

35           According to the present invention, by adding an optically acid-generating agent to polysilazane and exposing to light in a pattern, the polysilazane dissolves, allowing a patterned polysilazane film to be

obtained following development.

5 The patterned polysilazane film can be used as it is  
as a photoresist. Since the photoresist according to the  
present invention is of the positive type, it has high  
resolution and higher resistance to oxygen plasma than  
organic material-based resists. In particular, since the  
polysilazane film according to the present invention has  
high resistance to oxygen plasma, it is extremely useful  
as an alternative material to silicon-containing resists  
10 in dual layer resist methods.

In addition, by allowing the patterned polysilazane  
film according to the present invention to stand for a  
long period of time, or by baking it, a silica-based  
ceramic coating can be obtained that has preferable high  
15 heat resistance, low dielectric constant and excellent  
transparency and so forth as an interlayer insulating  
film.

Positive patterning can be performed using an  
inexpensive light source such as a high-pressure mercury  
20 lamp by adding a sensitizing dye to the photosensitive  
polysilazane composition of the present invention.

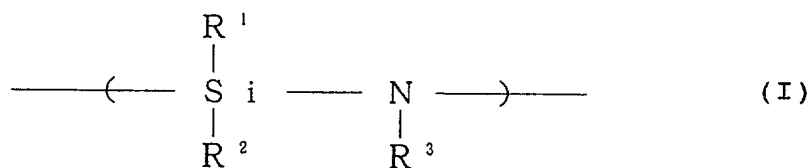
Moreover, by adding an oxidation catalyst to the  
composition of the present invention that contains a  
sensitizing dye, said sensitizing dye can be decomposed  
25 during coating baking after patterning, thereby allowing  
a transparent, silica-based ceramic coating, that is  
useful as the interlayer insulating film of a liquid  
crystal display and so forth to be obtained.

In addition, by adding a pigment to the  
30 photosensitive polysilazane composition according to the  
present invention, a color filter or black matrix can be  
produced having excellent heat resistance, insulation and  
hardness as well as satisfactory pattern accuracy.

CLAIMS

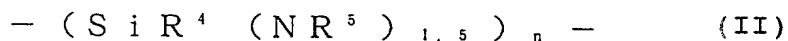
1. A photosensitive polysilazane composition comprising a polysilazane and a photoacid generator.

2. The photosensitive polysilazane composition according to claim 1 wherein said polysilazane is mainly a polysilazane, or its modification product having a number average molecular weight of 100 to 50,000, that contains the skeleton represented with the following general formula (I):



(wherein,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group).

3. The photosensitive polysilazane composition according to claim 1 wherein said polysilazane is mainly a polysilazane having a number average molecular weight of 100 to 100,000 that contains the skeleton represented with the following general formula (II):



(wherein,  $\text{R}^4$  and  $\text{R}^5$  respectively and independently represent a hydrogen atom, an alkyl group, an alkenyl group, a cycloalkyl group, an aryl group, a group other than these groups in which the portion bonded directly to silicon or nitrogen is carbon, an alkylsilyl group, an alkylamino group or an alkoxy group, and  $n$  is an arbitrary integer).

4. The photosensitive polysilazane composition according to claim 3 wherein, in the above formula (II),

R<sup>4</sup> is a methyl group or phenyl group, and R<sup>5</sup> is a hydrogen atom.

5        5.    The photosensitive polysilazane composition according to claim 2 wherein said polysilazane  
modification product is a polyorganosiloxazane having a  
number average molecular weight of 300 to 100,000 that  
contains, as its main repeating unit, -(RSiN<sub>3</sub>)-,  
10        -(RSiN<sub>2</sub>O)-, (RSiNO<sub>2</sub>)- and -(RSiO<sub>3</sub>)- (wherein, R is an  
alkyl group, an alkenyl group, a cycloalkyl group, an  
aryl group, an alkylamino group or an alkylsilyl group).

6.    The photosensitive polysilazane composition according to any one of claims 2 through 5 wherein said photoacid generator is a peroxide.

15        7.    The photosensitive polysilazane composition according to claim 6 wherein said peroxide is t-butyl  
peroxybenzoate, 3,3',4,4'-tetra(t-  
butylperoxycarbonyl)benzophenone or α,α'-bis(t-  
butylperoxy)diisopropylbenzene.

20        8.    The photosensitive polysilazane composition according to any one of claims 1 through 7 that additionally contains a sensitizing dye.

25        9.    The photosensitive polysilazane composition according to claim 8 wherein said sensitizing dye is selected from coumarin, ketocoumarin and their  
derivatives and thiopyrylium salts.

10.    The photosensitive polysilazane composition according to either of claims 8 or 9 that additionally contains an oxidation catalyst.

30        11.    The photosensitive polysilazane composition according to claim 10 wherein said oxidation catalyst is palladium propionate.

35        12.    A method of forming a patterned polysilazane film comprising: a step in which a coated film is formed of a photosensitive polysilazane composition comprising a polysilazane and a photoacid generator, a step in which said coated film is exposed to light in a pattern, and a step in which the exposed portion of said coated film is

dissolved off.

13. The method according to claim 12 wherein, said dissolving off step is performed using a weakly alkaline aqueous solution.

- 5        14. A method of forming a patterned insulating film containing a step in which a patterned polysilazane film formed by the method according to claim 12 is converted to a silica-based ceramic coating by allowing the film to stand in an ambient atmosphere or by baking the film.

ABSTRACT

5 A photosensitive polysilazane which may be used as a  
positive-tone photoresist, and a method of forming a  
patterned polysilazane film by use of such a composition  
are provided. The photosensitive polysilazane  
composition of the invention is characterized by  
comprising a polysilazane, particularly  
10 polymethylsilazane or polyphenylsilazane, and an  
optically acid-generating agent. The patterned  
polysilazane film is obtained by exposing a coating of  
the photosensitive polysilazane composition of the  
invention to light in a pattern and dissolving off the  
exposed portion.

Fig.1A

FORMATION OF INSULATING FILM  
(COATING/BAKING)

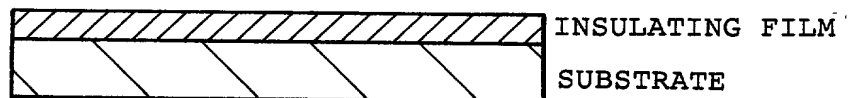


Fig.1B

RESIST COATING/PRE-BAKING

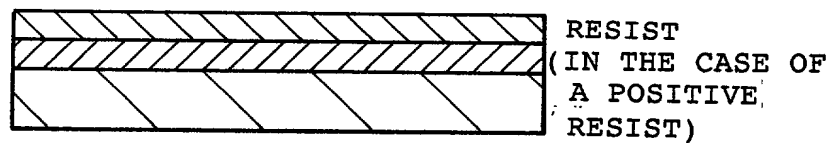


Fig.1C

MASK ALIGNMENT/EXPOSURE

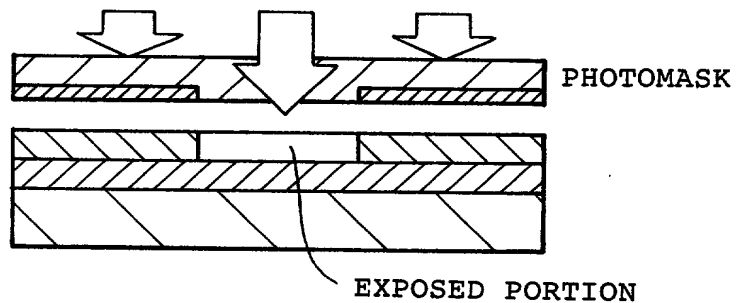




Fig.1D

RESIST DEVELOPMENT/POST-BAKING

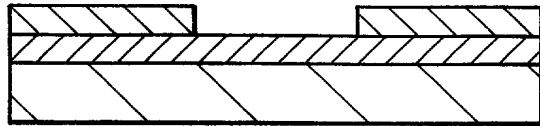


Fig.1E

INSULATING FILM ETCHING

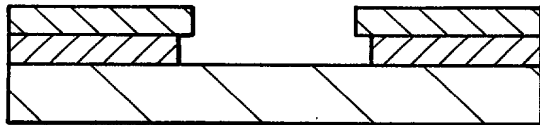


Fig.1F

RESIST ASHING



Fig.2A

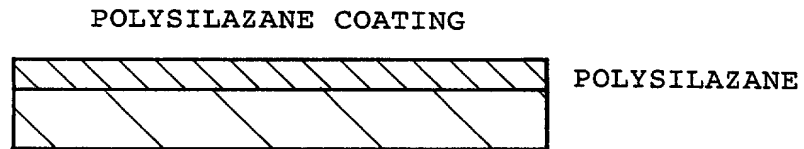


Fig.2B

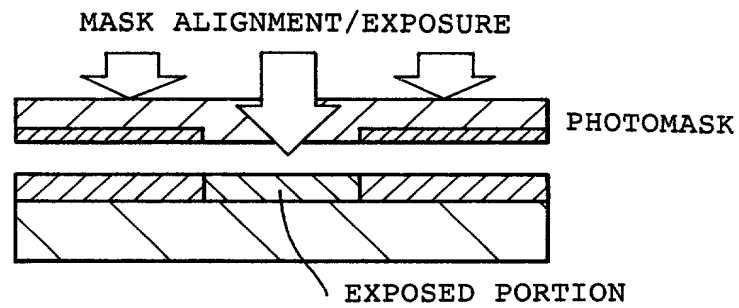


Fig.2C

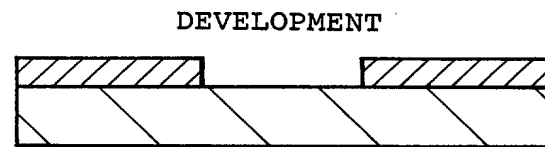
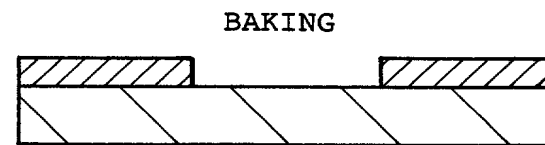


Fig.2D



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### 日本語宣言書

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PHOTOSENSITIVE POLYSILAZANE COMPOSITION

AND METHOD OF FORMING PATTERNED  
POLYSILAZANE FILM

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Page 1 of 4

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### Prior Foreign Application(s)

外国での先行出願 10-282697 (Pat. Appln)	Japan
(Number) (番号)	(Country) (国名)
(Number) (番号)	(Country) (国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

### Priority Not Claimed

優先権主張なし

05/10/1998	<input type="checkbox"/>
(Day/Month/Year Filed) (出願年月日)	
(Day/Month/Year Filed) (出願年月日)	<input type="checkbox"/>

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(Application No.) (出願番号)	(Filing Date) (出願日)
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(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)
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(Application No.) (出願番号)	(Filing Date) (出願日)
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(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)
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Michael N. Meller-Registration No. 20779  
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Anderson, Kill & Olick, P.C.  
1251 Avenue of the Americas  
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Direct Telephone Calls to: (name and telephone number)

Michael N. Meller  
212-278-1000

唯一または第一発明者名	100	Full name of sole or first inventor	<u>Tatsuro Nagahara</u>
発明者の署名	日付	Inventor's signature	<u>[Signature]</u> Date <u>May 18, 2001</u>
住所		Residence	<u>Kakegawa-shi, Shizuoka, Japan</u> TPX
国籍		Citizenship	<u>Japanese</u>
私書箱		Post Office Address	<u>1-5-2-301, Minami, Kakegawa-shi,</u> <u>Shizuoka, 436-0029, Japan</u>
第二共同発明者	200	Full name of second joint inventor, if any	<u>Hideki Matsuo</u>
第二共同発明者	日付	Second inventor's signature	<u>[Signature]</u> Date <u>May 18, 2001</u>
住所		Residence	<u>Kakegawa-shi, Shizuoka, Japan</u> TPX
国籍		Citizenship	<u>Japanese</u>
私書箱		Post Office Address	<u>2-12-13-205, Shimomatinami,</u> <u>Kakegawa-shi, Shizuoka, 436-0026, Japan</u>

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

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### Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の  
手続を米特許商標局に対して遂行する弁理士または代理人  
として、下記の者を指名いたします。(弁理士、または代理  
人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint  
the following attorney(s) and/or agent(s) to prosecute this  
application and transact all business in the Patent and Trademark  
Office connected therewith (list name and registration number)  
Michael N. Meller-Registration No. 20779  
Eugene Lieberstein-Registration No. 24645

書類送付先

Send Correspondence to:

Anderson, Kill & Olick, P.C.  
1251 Avenue of the Americas  
New York, NY 10020-1182

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Michael N. Meller  
212-278-1000

third	
唯一または第一発明者名	Full name of sole or first inventor
300	Tomoko Aoki
発明者の署名	Inventor's signature
日付	Date
	青木倫子 May 18, 2001
住所	Residence
	Kakegawa-shi, Shizuoka, Japan TPX
国籍	Citizenship
	Japanese
私書箱	Post Office Address
	1067-8-301, Kakegawa, Kakegawa-shi, Shizuoka, 436-0079, Japan
fourth	
第二共同発明者	Full name of second joint inventor, if any
400	Kazuhiro Yamada
第二共同発明者	Second inventor's signature
日付	Date
	山田 一博 May 18, 2001
住所	Residence
	Yokohama-shi, Kanagawa, Japan TPX
国籍	Citizenship
	Japanese
私書箱	Post Office Address
	5-8-424, Shimoda-cho, Kouhoku-ku, Yokohama-shi, Kanagawa, 223-0064, Japan

(第三以降の共同発明者についても同様に記載し、署名を  
すること)

(Supply similar information and signature for third and subsequent  
joint inventors.)